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through 10/31/2002. OMB 0651-0032

PTO/SB/05 (03-01)

U.S. DEPARTMENT OF COMMERCE

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## PATENT APPLICATION TRANSMITTAL

First Inventor Dr. Gebhard Döpper METHOD AND DEVICE FOR TREATING THE SURFACE OF A Title PART

Express Mail Label No. EU211110957US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. 7. CD-ROM or CD-R in duplicate, large table or 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) 3. 🔲 Specification (Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies Reference to sequence listing, a table, **ACCOMPANYING APPLICATIONS PARTS** or a computer program listing appendix - Background of the Invention Assignment Papers (cover sheet & document(s)) 9. 🛛 - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Claim(s) - Abstract of the Disclosure 11. 🛛 English Translation Document (if applicable) Copies of IDS 4. 🛛 12. 🔯 Information Disclosure Drawing(s) (35 U.S.C.113) [Total Sheets Statement (IDS)/PTO-1449 Citations Total Pages 5. Oath or Declaration 13. 🗍 Preliminary Amendment a. Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. 🛛 b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. ☐ DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. 🛛 Other: Cover page of published PCT application; A Power of Attorney is included within the Declaration 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation-in-part (CIP) of prior application No: \_\_\_ ☐ Continuation □ Divisional Group / Art Unit. Examiner Prior application information: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Correspondence address below ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) SIEMENS CORPORATION Name INTELLECTUAL PROPERTY DEPT. 186 WOOD AVENUE SOUTH Address 08830 City **ISELIN** State NJ Zip Code Fax 732-321-3014 732-321-3026 USA Telephone Country Registration No. (Attorney/Agent) 44,961 John P. Musone Name (Print/Type) 02/28/2002 Signature

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Complete if Known

NOT YET ASSIGNED

TOTAL AMOUNT OF PAYMENT

Patent fees are subject to annual revision.

Application Number

Group / Art Unit

unknown

(\$) 740

99P03591US Attorney Docket No.

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Deposit							105	130	205	65	Surcharge - late filing fee or oath		
Acc Num	ount	19-2	2179				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
						139	130	139	130	Non-English specification			
Dep		0.5	SIEMENS CORPORATION				147	2,520	147	2,520	For filing a request for reexamination		
Account SIEMENS CORPORATION Name							112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	ge fee(s) i	ndicat	ted below	d to: (check all ☑ Credit any	overpayme		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
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			FEE C	ALCULATION			117	920	217	460	Extension for reply within third month		
BASIC FILING FEE Large Entity Small Entity								1,440	218	720	Extension for reply within fourth month		
Fee	•	ee	Fee	Fee Description	1		128	1,960	228	980	Extension for reply within fifth month		
Code		ode	(\$)			Fee Paid	119	320	219	160	Notice of Appeal		
101	740 2	201	370	Utility filing fee	[ ]	740	120	320	220	160	Filing a brief in support of an appeal		
106	330 2	206	165	Design filing fee			121	280	221	140	Request for oral hearing		
107 108		207 208	255 370	Plant filing fee Reissue filing fe	e		138	1,510	138	1,510	Petition to institute a public use proceeding		
114		14	80	Provisional filling			140	110	240	55	Petition to revive – unavoidable		
114 100 214			oo rionaaaaa				141	1,280	241	640	Petition to revive - unintentional		
		5	SUBTOTA	L (1)		\$) 740	142	1,280	242	640	Utility issue fee (or reissue)		
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Total Clair	ns		20 ** =	0 × [	=	0	123	50	123	50	Processing fee under 37 CFR 1.17 (q)		
Independer Claims	nt		3 ** =	0 x		0	126	180	126	180	Submission of Information Disclosure Stmt		
<b>M</b> ultiple Dependent				x [	=	0	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Fee	Entity Fee	Smal Fee	li Entif Fee	•	tion		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
<b>Code</b> 103	( <b>\$</b> ) 18	Code 203	• ( <b>\$</b> ) 9	Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
102	84	202	42	Independent	claims in exc	ess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104	280	204	140	Multiple dependent claim, if not paid							·		
109	84 209 42 ** Reissue independent claims over original patent				169	900	169	900	Request for expedited examination of a design application				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent													
			s	UBTOTAL (2)	(\$)0		Other t	ee (specif	fy)				
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**or nu	ımber prev	iously	paid, if g	reater; For Reis	sues, see a	bove	]	,		-	(0) 0		

SUBMITTED BY Complete (if applicable)										
Name (Print/Type)	John P. Musone	Registration No Attorney/Agent)	44,961	Telephone	407-736-6449					
Signature	Al 1/m			Date	02/28/2002					

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